

Applicant or Patentee: Joseph R. Burns et al

Attorney's Docket No.: OPT 29 Serial or Patent No.: _____

Filed or Issued: _____

For: APPARATUS AND METHOD FOR OPTIMIZING THE POWER TRANSFER PRODUCED BY
A WAVE ENERGY CONVERTER (WEC)

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY

STATUS (37 CFR 1.9(f) and 1.27 (b)) - SMALL BUSINESS CONCERN

I hereby declare that I am an official of the small business concern identified below.

NAME OF SMALL BUSINESS CONCERN: OCEAN POWER TECHNOLOGIES, INC.
ADDRESS OF SMALL BUSINESS CONCERN: 1590 Reed Road
Pennington, NJ 08534

I hereby declare that that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12 and reproduced in 37 CFR 1.9 (d) for purposes of paying reduced fees under section 41 (a) and (b) of title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled

APPARATUS AND METHOD FOR OPTIMIZING THE POWER TRANSFER PRODUCED BY
A WAVE ENERGY CONVERTER (WEC)

described in

- ☒ the specification filed herewith
- ☐ application serial no. _____, filed _____
- ☐ patent no. _____, issued _____

I hereby declare that rights under contract of law have been conveyed to and remain with the small business concern identified above with regard to the invention described in the specification filed herewith with title as listed above.

092237-0001

I acknowledge the duty to file, in this application or patent, notification of change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application, or any patent issued thereon, or any patent to which the verified statement is directed.

Name of Person Signing George w. Taylor


Signature in Full

Title of Person if Other Than Owner President

Address of Person Signing 325 Ridge Road, RD#1, Princeton, NJ 08540

SEND CORRESPONDENCE TO:
Henry I. Schanzer, Esq.
29 Brookfall Road
Edison, NJ 08817

DIRECT TELEPHONE CALLS TO:
(732) 572-3984